## Statewide Assessment Home and Community Based Settings Policies and Procedures: September 2018 Update

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Type of Setting	Section of the Rule	Issue	Oppose/Silent /Positive	# of sites	Remedial Strategy	Lead Agency	Target Date	Ongoing Monitoring
All	(a) The setting ensures an individual's rights of privacy, dignity, respect, and freedom from coercion and restraint	DDS Human Rights Policy (2013-DDA-H&W-POL007) and implementing procedures already includes standards and guidelines to ensure safeguards are established to "protect and promote the human rights and freedoms of all people receiving services. The Human Rights policy requires that people served by DDS are "can exercise their right to personal liberty, dignity and respect." That policy also provides protections from coercion and restraint. Please see: <a href="http://dds.dc.gov/book/iii-health-and-wellness/human-rights-policy">http://dds.dc.gov/book/iii-health-and-wellness/human-rights-policy</a> .  The Most Integrated Community-Based setting policy (2015-DDA-POL01) requires DDS DDA to assist people with intellectual disabilities to obtain services and supports in the "least restrictive and most integrated community-based setting that is most appropriate for the needs of the person and the person is to receive communications that are courteous, respectful of the dignity of the person, and facilitate the person's understanding of what is being communicated. Also, this policy outlines standards that require privacy, including the opportunity where possible; to be provided clearly defined living, sleeping, entertaining, and personal care spaces. Freedom from discomfort, distress, and deprivation that arise from an unresponsive and inhumane environment."	Positive/ Silent	All	Most of this was already required by DDS policy, but DDS policy did not specifically include a right to file an anonymous complaint. DDS created a Complaint Policy (2016-DDA-POL01) that includes the right to file anonymously. Please see: http://dds.dc.gov/publication/dda-complaint-policy.  DDS will update its Most Integrated Community Based Setting policy (2015-DDA-POL01) to specifically include items referenced in the CMS Exploratory Questions—for example, our policy already requires courteous and respectful communications, but we would add that people are addressed by their name or a preferred nickname. Please see http://dds.dc.gov/book/ii-service-planning/most-integrated community-setting policy.  Update September 2018:  DDS & DHCF added this additional detail and specificity about HCBS Settings Requirements in our governing waiver regulations in November 2017. Please see 29 DCMR \$1938 (Final Rule published March 2, 2018), this provision appears at \$1938.1(b), available at https://www.dcregs.dc.gov/Common/DCM R/SectionList.aspx?SectionNumber=29-1938. With these new regulations, no additional policy change is required.	DDS	Complaint policy: completed.  Most Integrated Community Based Setting policy: 9/30/2018  Update September 2018 – New HCBS Regulations: completed. Published 11/2017, HCBS Final regulations published 3/2/2018. (This was done in lieu of the Most Integrated Community Based Setting policy.)  COMPLETE.	Service coordination monitoring, and provider certification review.

		Please see <a href="http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy">http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy</a> .						
All	b. The setting optimizes individual initiative, autonomy, and independence in making life choices	The Most Integrated Community-Based setting policy (2015-DDA-POL01) set standards that require settings to ensure the "self-determination and freedom of choice to the person's fullest capability."  In addition, the Most Integrated Community-Based setting policy (2015-DDA-POL01) sets the standards that "persons with intellectual disabilities have the fundamental right to gain and sustain an optimum level of independence and competency to make decisions and have control over their lives and choices."  Please see <a href="http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy">http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy</a>	Positive	All	DDS policy already required this. To help ensure that each person is in the most appropriate and integrated HCBS Setting to meet their needs, DDS updated its Individual Support Plan policy, procedure and format to include a required Guided Conversation to Assess Whether a Person is in the Most Integrated Setting. Please see: http://dds.dc.gov/book/individual-support-plans-policy-and-procedures/isp-policy.  Update September 2018: Please see this provision in DDS' governing regulations at 29 DCMR §1938.1(g), available at https://www.dcregs.dc.gov/Common/DCM R/SectionList.aspx?SectionNumber=29-1938.  Please see DDS' updated ISP documents at: Person Centered Planning Process and Individual Support Plans Policy, 2017-DDA-POL001, Initial Individual Support Plan Procedure, 2017-DDA-PR05, and Annual Individual Support Plan Procedure, 2017-DDA-PR06.	DDS	Completed  Update September 2018 – HCBS Final regulations published 3/2/2018.  Updated ISP Policy and Procedure and format completed October 1, 2017.  COMPLETE.	Service coordination monitoring, and provider certification review.
All	c. The setting facilitates individual choice regarding services and supports, and who provides them	The Most Integrated Community-Based setting policy (2015-DDA-POL01) set standards that require settings to ensure the "self-determination and freedom of choice to the person's fullest capability."  In addition, the Most Integrated Community-Based setting policy (2015-DDA-POL01) sets the standards that "persons with intellectual disabilities have the fundamental right to gain and sustain an optimum level of independence and competency to make decisions and have control over their lives and choices."	Positive	All	This is already covered by DDS policy. However, to help ensure informed choice, DDS updated its Individual Support Plan policy (2015-DDA-POL24) and procedure and format to specifically include a discussion and documentation of informed choice. Please see:  http://dds.dc.gov/book/individual-support-plans-policy-and-procedures/isp-policy.  Update September 2018: Please see this provision in DDS' governing regulations at 29 DCMR §1938.1(h), available at https://www.dcregs.dc.gov/Common/DCM	DDS	Update September 2018 – HCBS Final regulations published 3/2/2018.  Updated ISP Policy and Procedure and format completed	Service coordination monitoring, and provider certification review.

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		Please see <a href="http://dds.dc.gov/book/ii-">http://dds.dc.gov/book/ii-</a>		R/SectionList.aspx?SectionNumber=29-		October 1,	
		service-planning/most-integrated-		<u>1938</u> .		2017.	
		community-setting-policy.					
				Please see DDS' updated ISP documents:			
		The <u>Individual Support Plan</u> policy		Person Centered Planning Process and		COMPLETE.	
		(2015-DDA-POL24) sets the standard		Individual Support Plans Policy, 2017-			
		that DDS shall "support each person to		DDA-POL001, available at			
		make an informed choice of types of		https://dds.dc.gov/sites/default/files/dc/sites			
		supports and providers." Please see:		/dds/publication/attachments/2017-DDA-			
		http://dds.dc.gov/book/individual-		POL001%20Person%20Centered%20Plann			
		support-plans-policy-and-procedures/isp-		ing%20Process%20and%20Individual%20			
		policy.		Support%20Plans%20Policy.pdf; Initial			
		poney.		Individual Support Plan Procedure, 2017-			
		DDS Transition policy (2013-DDA-		DDA-PR05, available at			
		POL006) requires that "people who		https://dds.dc.gov/sites/default/files/dc/sites			
		receive services and supports from DDA		/dds/publication/attachments/2017-DDA-			
				PR05% 20Initial% 20Individual% 20Support			
		have the right to choose which providers		%20Plan%20Procedure_1.pdf; and Annual			
		support them. Please see:					
		http://dds.dc.gov/book/transition-policy-		Individual Support Plan Procedure, 2017-			
		and-procedures/transition-policy.		DDA-PR06, available at			
				https://dds.dc.gov/sites/default/files/dc/sites			
				/dds/publication/attachments/2017-DDA-			
				PR06%20Annual%20Individual%20Suppor			
				t%20Plan%20Procedure_1.pdf.			
All	d.(i) The setting provides		Positive All	DDS already required this by policy. To	DDS	Completed	Service
	opportunities to seek	(2015-DDA-POL01) setting policy sets		help ensure that each person is on a			coordination
	employment and work in	standards "Each person on his or her		pathway to competitive, integrated		<u>Update</u>	monitoring, and
	competitive integrated	pathway to community integration and		employment, DDS updated its <u>Individual</u>		<u>September</u>	provider
	settings	employment, including providing		Support Plan policy, procedure and format		<u>2018</u> –	certification
		opportunities for employment,		to include a required Guided Conversation			review.
		community exploration, and meaningful		on Employment. Please see:		HCBS Final	
		non-work activities in the community."		http://dds.dc.gov/book/individual-support-		regulations	
		Please see <a href="http://dds.dc.gov/book/ii-">http://dds.dc.gov/book/ii-</a>		plans-policy-and-procedures/isp-policy		published	
		service-planning/most-integrated-				3/2/2018.	
		community-setting-policy.		Update September 2018:			
				Please see this provision in DDS' governing		Updated ISP	
				regulations at 29 DCMR §1938.1(e),		Policy and	
		The Employment First Policy (2014-		available at		Procedure and	
		DDS-EMPL-01) requires that for people		https://www.dcregs.dc.gov/Common/DCM		format	
		DDA supports, on-going career planning		R/SectionList.aspx?SectionNumber=29-		completed	
		shall be addressed in the Person Centered		1938.		October 1,	
		Planning Process using Discovery or				2017.	
		other forms of person-centered		Please see DDS' updated ISP documents:			
		vocational assessment so that career and		Person Centered Planning Process and		COMPLETE.	
		career advancement opportunities are		Individual Support Plans Policy, 2017-			
		explored on a regular basis. If a person is		DDA-POL001, available at			
		explored on a regular basis. If a person is		avaliable at	<u> </u>		

	served by both DDA and RSA or with another partner, these plans should be coordinated and reflect the person's interest and career goals. Information gained from the Discovery process or other assessments, or the use of other Person Centered Thinking tools shall be used to guide and inform the development of the Person Centered Employment Plan, Individual Plan for Employment and/or the Individual Service Plan. Please see:  http://dds.dc.gov/page/employment-first.			https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-POL001%20Person%20Centered%20Planning%20Process%20and%20Individual%20Support%20Plans%20Policy.pdf; Initial Individual Support Plan Procedure, 2017-DDA-PR05, available at https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-PR05%20Initial%20Individual%20Support%20Plan%20Procedure 1.pdf; and Annual Individual Support Plan Procedure, 2017-DDA-PR06, available at https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-PR06%20Annual%20Individual%20Support%20Plan%20Procedure 1.pdf.			
All d. (ii) engage in community life	The Most Integrated Community –Based setting policy (2015-DDA-POL01) sets standards that require settings to provide the "opportunity to engage in activities and styles of living that encourage and maintain the integration of the person in the community, including social integration in settings typical of the community, which maximize the person's contact with others who live or work in that community." Please see <a href="http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy">http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy</a> .	Positive	All	No remedial action required.  Update September 2018: While no remedial action was required, please see this provision in DDS' governing regulations at 29 DCMR §1938.1(j), available at https://www.dcregs.dc.gov/Common/DCM R/SectionList.aspx?SectionNumber=29-1938.	DDS	Completed  Update September 2018 – HCBS Final regulations published 3/2/2018.  COMPLETE.	Service coordination monitoring, and provider certification review.

Λ 11	d (iii) The setting provides	It is the DDC Descend Eve de Deliev	Positive/Silent	A 11	DDC will work with staltahaldans to an data DI	S Provider HRC	Service
All	d. (iii) The setting provides	It is the DDS <u>Personal Funds Policy</u> (2014-DDA-POL006) to "ensure that	Positive/Silent	All	DDS will work with stakeholders to update  its Personal Funds policy to answer that it is		coordination
	opportunities to control personal resources.	,			its Personal Funds policy to ensure that it is	<del>procedure:</del> 9/30/2018	
	personal resources.	people who receive supports and services from DDA control their own resources to			not creating any unnecessary barriers to a	<del>9/30/2018</del>	monitoring, and
					person's ability to control his or her		provider certification
		the greatest extent possible, and that			personal resources, while ensuring	Dama and Francis	
		people's funds are accounted for and			protections.	Personal Funds	review.
		safeguarded."			http://dds.dc.gov/book/personal-funds-	Policy:	
		II d. D. LE L. D. L.			policy/personal-funds-policy.	9/30/2018	
		However, the Personal Funds Policy					
		(2014-DDA-POL006) also requires each			DDS and DHCF are updating the waiver		
		residential provider to account for			regulations Home and Community Based		
		maintaining of personal accounts. So			Settings Requirements to require that any	TT 1	
		therefore, the policy requires people to			permissible deviation from HCBS Settings	<u>Update</u>	
		control their personal funds but also			requirements must be supported by a	September 2019	
		requires providers to manage personal			specific assessed need, justified and	<u>2018</u> –	
		resources and provide an accounting to			documented in the person's person-centered	HODG T'	
		DDS.			Individualized Support Plan, as well as	HCBS Final	
		TH.			reviewed and approved as a restriction by	regulations	
		Please see:			the Provider's Human Rights Committee	published	
		http://dds.dc.gov/book/personal-funds-			(HRC).	3/2/2018.	
		policy/personal-funds-policy.				11 1 1100	
					DDS will update its Provider HRC	Updated ISP	
					procedure to align. This might also require	Policy and	
					an update to DDS's Behavior Supports	Procedure and	
					policy and procedures.	format	
					77 7	completed	
					Update September 2018:	October 1,	
					DDS & DHCF updated our governing	2017.	
					waiver regulations in November 2017 to		
					require that any permissible deviation from	Updated	
					HCBS Settings requirements must be	Personal Funds	
					supported by a specific assessed need,	Policy,	
					justified and documented in the person's	complete	
					person-centered Individualized Support	September 30,	
					Plan, reviewed and approved as a restriction	2018.	
					by the Provider's Human Rights Committee		
					(HRC). Please see 29 DCMR §1938.1(1).	Updated	
					<u>§1938.4</u> . available at	Provider HRC	
					https://www.dcregs.dc.gov/Common/DCM	Meeting	
					R/SectionList.aspx?SectionNumber=29-	Minutes form	
					<u>1938</u> .	completed,	
						June 22, 2018.	
					Please see DDS' updated ISP documents:		
					Person Centered Planning Process and		
					Individual Support Plans Policy, 2017-	NEW Person-	
					DDA-POL001, available at	Centered	

	https://dds.dc.gov/sites/default/files/dc/sites	Modifications
	/dds/publication/attachments/2017-DDA-	Procedure
	POL001%20Person%20Centered%20Plann	complete by
	ing%20Process%20and%20Individual%20	September 30,
	Support% 20Plans% 20Policy.pdf; Initial Individual Support Plan Procedure, 2017-	2018; posting by October 15,
	DDA-PR05, available at	2018.
	https://dds.dc.gov/sites/default/files/dc/sites	2018.
	/dds/publication/attachments/2017-DDA-	COMPLETE.
	PR05%20Initial%20Individual%20Support	COM ELTE.
	%20Plan%20Procedure_1.pdf; and Annual	
	Individual Support Plan Procedure, 2017-	
	DDA-PR06, available at	
	https://dds.dc.gov/sites/default/files/dc/sites	
	/dds/publication/attachments/2017-DDA-	
	PR06%20Annual%20Individual%20Suppor	
	t%20Plan%20Procedure_1.pdf.	
	DDS updated its Personal Funds Policy	
	which will be completed by September 30,	
	2018, and be posted October 15, 2018, on	
	the <u>DDA Policies and Procedures</u> webpage, available at	
	https://dds.dc.gov/page/policies-and-	
	procedures-dda.	
	) procedures dua.	
	DDS developed a required template for	
	Provider HRC meeting minutes, available	
	on-line at:	
	https://dds.dc.gov/sites/default/files/dc/sites	
	/dds/publication/attachments/Final%20Prov	
	ider%20HRC%20Minutes%20Template%2	
	<u>06.22.18.pdf</u> .	
	DDS developed a new Person-Centered	
	Modifications Procedure which will be	
	completed by September 30, 2018 and	
	posted to the DDA Policies and Procedures	
	page by October 15, 2018, available	
	https://dds.dc.gov/page/policies-and-	
	procedures-dda, to ensure clarity in exactly	
	which requirements in its HCBS Settings	
	Requirements Rule regulations permit	
	PCMs and who is to carry out which piece	
	of the work. The regulations, ISP Policy	

					and Procedure changes, and this Procedure are in lieu of changes to the Provider HRC procedure. Because a PCM is not part of a BSP, no update to DDS' BSP Policy and Procedures was needed.		
All	e. The setting is integrated and supports access to the greater community	The Most Integrated Community –Based Setting policy (2015-DDA-POL01) requires "The ISP shall emphasize residential supports that promote the person's independence and the opportunity to actively engage with other citizens who live and work in that community. Toward this goal, the ISP team process shall consider residential supports that actively promote and enhance each person's growth, attainment, and maintenance of independence, and that least interfere with the person's independence while providing the services that address the person's needs."  Please see <a href="http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy">http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy</a> .	Positive	All	No remedial action required.  Update September 2018: While no remedial action was required, please see this provision in DDS' governing regulations at 29 DCMR §1938.1(n), available at https://www.dcregs.dc.gov/Common/DCM R/SectionList.aspx?SectionNumber=29-1938.	Update September 2018 – HCBS Final regulations published 3/2/2018. COMPLETE.	Service coordination monitoring, and provider certification review.

All	f. The setting provides opportunities to engage in community life	The Most Integrated Community –Based Setting policy (2015-DDA-POL01) requires "The ISP shall emphasize residential supports that promote the person's independence and the opportunity to actively engage with other citizens who live and work in that community. Toward this goal, the ISP team process shall consider residential supports that actively promote and enhance each person's growth, attainment, and maintenance of independence, and that least interfere with the person's independence while providing the services that address the person's needs."  Please see <a href="http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy">http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy</a> .	Positive	All	No remedial action required.  Lipdate September 2018: While no remedial action was required, please see this provision in DDS' governing regulations at 29 DCMR §1938.1(j), available at https://www.dcregs.dc.gov/Common/DCM R/SectionList.aspx?SectionNumber=29-1938.	DDS	Update September 2018 – HCBS Final regulations published 3/2/2018. COMPLETE.	Service coordination monitoring, and provider certification review.
All	g. the setting provides opportunities to control personal resources	It is the DDS Personal Funds Policy (2014-DDA-POL006) to "ensure that people who receive supports and services from DDA control their own resources to the greatest extent possible, and that people's funds are accounted for and safeguarded."  However, the Personal Funds Policy (2014-DDA-POL006) also requires each residential provider to account for maintaining of personal accounts. So therefore, the policy requires people to control their personal funds but also requires providers to manage personal resources and provide an accounting to DDS.  Please see: <a href="http://dds.dc.gov/book/personal-funds-policy/personal-funds-policy/">http://dds.dc.gov/book/personal-funds-policy/</a> .	Mixed	All	DDS will work with stakeholders to update its Personal Funds Policy (2014-DDA-POL006) and procedures to ensure that it is not creating any unnecessary barriers to a person's ability to control his or her personal resources, while ensuring protections.  http://dds.dc.gov/book/personal-funds-policy/personal-funds-policy/personal-funds-policy/  DDS and DHCF are updating the waiver regulations Home and Community Based Settings Requirements to require that any permissible deviation from HCBS Settings requirements must be supported by a specific assessed need, justified and documented in the person's person-centered Individualized Support Plan, as well as reviewed and approved as a restriction by the Provider's Human Rights Committee (HRC).  DDS will update its Provider HRC procedure to align. This might also require an update to DDS's Behavior Supports policy and procedures.	DDS	Provider HRC procedure: 9/30/2018  Personal Funds Policy: 9/30/2018  Behavior Supports policy: 9/30/2018  Update September 2018 –  HCBS Final regulations published 3/2/2018.  Updated ISP Policy and	Service coordination monitoring, and provider certification review.

	DDS will update its Provider HRC procedure to align. http://dds.dc.gov/publication/provider hrc- procedures.  This might also require an update to DDS's Behavior Supports policy (2013-DDA- POL008) and procedures. http://dds.dc.gov/book/behavior support- policy and procedures/behavioral support- plan-policy  Update September 2018:  DDS & DHCF updated our governing waiver regulations in November 2017 to require that any permissible deviation from HCBS Settings requirements must be supported by a specific assessed need, justified and documented in the person's person-centered Individualized Support Plan, reviewed and approved as a restriction by the Provider's Human Rights Committee (HRC). Please see 29 DCMR §1938.1(1); §1938.4, available at https://www.dcregs.dc.gov/Common/DCM P/SectionList appy?SectionNumber-29	Procedure and format completed October 1, 2017.  Updated Personal Funds Policy, complete by September 30, 2018; posting by October 15, 2018.  Updated Provider HRC Meeting Minutes form completed, June 22, 2018.  NEW Person-Centered Modifications Procedure complete by
	DDS & DHCF updated our governing waiver regulations in November 2017 to require that any permissible deviation from HCBS Settings requirements must be supported by a specific assessed need, justified and documented in the person's person-centered Individualized Support Plan, reviewed and approved as a restriction by the Provider's Human Rights Committee (HRC). Please see 29 DCMR \$1938.1(1); \$1938.4, available at	Updated Provider HRC Meeting Minutes form completed, June 22, 2018.  NEW Person- Centered Modifications

					DDA-PR06, available at https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-PR06% 20Annual% 20Individual% 20Suppor t% 20Plan% 20Procedure_1.pdf.  DDS updated its Personal Funds Policy, which will be completed by September 30, 2018, and be posted October 15, 2018, on the DDA Policies and Procedures webpage, available at https://dds.dc.gov/page/policies-and-procedures-dda.  DDS developed a new Person-Centered Modifications Procedure which will be completed by September 30, 2018 and posted to the DDA Policies and Procedures page by October 15, 2018, available https://dds.dc.gov/page/policies-and-procedures-dda, to ensure clarity in exactly which requirements in its HCBS Settings Requirements Rule regulations permit PCMs and who is to carry out which piece of the work.  The regulations, ISP Policy and Procedure changes, and this Procedure are in lieu of changes to the Provider HRC procedure. Because a PCM is not part of a BSP, no update to DDS' BSP Policy and Procedures was needed.			
All	h. The setting provides opportunities to receive services in the community to the same degree of access as individuals not receiving Medicaid HCBS	No policies speak to this currently.	Silent	All	DDS will update its Most Integrated Community-Based Setting policy to specifically include this. http://dds.dc.gov/book/ii-service- planning/most integrated community- setting-policy.  Update September 2018: Please see this provision in DDS' governing regulations at 29 DCMR §1938.1(k), available at https://www.dcregs.dc.gov/Common/DCM R/SectionList.aspx?SectionNumber=29-	DDS	Most Integrated Community Based Setting policy: 9/30/2018  Update September 2018 – HCBS Final regulations	Service coordination monitoring, and provider certification review.

				1938. With these new regulations, no additional policy change is required.		published 3/2/2018. (This was done in lieu of the Most Integrated Community Based Setting policy.) COMPLETE.	
All	i. (i) The setting is selected by the individual from among other options including non-disability specific settings and a private unit in a residential setting	No policies spoke to this.	Silent	DDS updated its Individual Support Plan Policy (2015-DDA-POL24) and procedure to more specifically include a requirement that people consider non-disability specific settings. This is also a part of the guided conversation on Most Integrated Setting. Please see:  http://dds.dc.gov/book/individual-support-plans-policy-and-procedures/isp-policy and http://dds.dc.gov/publication/assessing-most-integrated-day-informed-consent.  DC will also add specific language to its ISP policy regarding the obligation to ensure people are selecting among options, including non-disability specific settings.  Update September 2018: Please see DDS' updated ISP documents, Person Centered Planning Process and Individual Support Plans Policy, 2017-DDA-POL001, available at https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-POL001%20Person%20Centered%20Planning%20Process%20and%20Individual%20 Support%20Plans%20Policy.pdf; Initial Individual Support Plan Procedure, 2017-DDA-PR05, available at https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-PR05%20Initial%20Individual%20Support%20Plan%20Procedure 1.pdf; and Annual Individual Support Plan Procedure, 2017-	DDS	Update September 2018 – Updated ISP Policy and Procedure and format completed October 1, 2017.  COMPLETE.	Service coordination monitoring, and provider certification review.

					DDA-PR06, available at https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-PR06%20Annual%20Individual%20Support%20Plan%20Procedure_1.pdf. These require an Integrated Supports Approach, that requires the support team to List the support options or setting best suited for the person, considering, in this order: (i) Personal strengths and assets; (ii) Relationship based supports, also called natural supports; (iii) Use of technology; (iv) Community resources; and (v) Eligibility-based supports, e.g., Medicaid State Plan services; and Supports through the HCBS IDD Waiver.			
All	i. (ii) The setting is a private unit in a residential setting	No policies speak to this currently.	Silent	All	DDS will issue a policy on Contribution to Cost of Supports that will address choice of units and ability to have a private unit, if the person is able to contribute.  Update September 2018: While no remedial action was required, please see this provision in DDS' governing regulations at 29 DCMR §1938.1(a), available at https://www.dcregs.dc.gov/Common/DCM R/SectionList.aspx?SectionNumber=29-1938.	DDS	Contrib. to cost of supports: 9/30/2018  Update September 2018 – HCBS Final regulations published 3/2/2018.  COMPLETE.	Service coordination monitoring, and provider certification review.
All Residential	j. If provider-owned or controlled, the setting provides a specific unit/dwelling that is owned, rented, or occupied under a legally enforceable agreement.	No policies speak to this currently.	Silent	All	No remedial action required. This is governed by the General Provision regulations and Human Care Agreements.  General Provisions, <a href="http://www.dcregs.dc.gov/Gateway/RuleHome.aspx?RuleNumber=29-1900">http://www.dcregs.dc.gov/Gateway/RuleHome.aspx?RuleNumber=29-1900</a> , Home and Community Based Settings Requirements.  A sample HCA for residential expenses is available on-line at:	DDS	Completed  Update September 2018 – HCBS Final regulations published 3/2/2018.  COMPLETE.	Service coordination monitoring, and provider certification review.

					http://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/Residential%2 0Expenses%20and%20Services%20DCJM-2015-H-0006.pdf.  Update September 2018: While no remedial action was required, please see this provision in DDS' governing regulations at 29 DCMR §1938.2, available at https://www.dcregs.dc.gov/Common/DCM R/SectionList.aspx?SectionNumber=29-1938.			
All Residential	k. If provider –owned or controlled, the setting provides the same responsibilities/protections from eviction as all tenants under landlord tenant law of state, county, city or other designated entity	No policies speak to this currently.	Silent	All	No remedial action required. This is governed by the General Provision regulations and Human Care Agreements.  General Provisions, <a href="http://www.dcregs.dc.gov/Gateway/RuleHome.aspx?RuleNumber=29-1900">http://www.dcregs.dc.gov/Gateway/RuleHome.aspx?RuleNumber=29-1900</a> , Home and Community Based Settings Requirements.  A sample HCA for residential expenses is available on-line at: <a href="http://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/Residential%2">http://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/Residential%2</a> <a href="http://www.dcregs.dc.gov/common/DCJM-2015-H-0006.pdf">DCMR &amp; 2015-H-0006.pdf</a> .  Update September 2018:  While no remedial action was required, please see this provision in DDS' governing regulations at 29 DCMR §1938.2, available at <a href="https://www.dcregs.dc.gov/Common/DCM-R/SectionList.aspx?SectionNumber=29-1938">https://www.dcregs.dc.gov/Common/DCM-R/SectionList.aspx?SectionNumber=29-1938</a> .	DDS	Update September 2018 – HCBS Final regulations published 3/2/2018 COMPLETE.	Service coordination monitoring, and provider certification review.
All Residential	1. if the setting is provider- owned or controlled and the tenant laws do not apply, the state ensures that the lease, residency agreement or other written agreement is in place providing protections to	No policies speak to this currently.	Silent	All	No remedial action required. This is governed by the General Provision regulations and Human Care Agreements.  General Provisions,	DDS	Completed  Update September 2018 – HCBS Final regulations	Service coordination monitoring, and provider certification review.

	address eviction processes and appeals comparable to those provided under the jurisdiction's landlord tenant law.			http://www.dcregs.dc.gov/Gateway/RuleHome.aspx?RuleNumber=29-1900, Home and Community Based Settings Requirements.  A sample HCA for residential expenses is available on-line at: http://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/Residential%20Expenses%20and%20Services%20DCJM-2015-H-0006.pdf.  Update September 2018: While no remedial action was required, please see this provision in DDS' governing regulations at 29 DCMR §1938.2, available at https://www.dcregs.dc.gov/Common/DCMR/SectionList.aspx?SectionNumber=29-1938.		published 3/2/2018  COMPLETE.	
All	m. If provider-owned or controlled, the setting provides that each individual has privacy in their sleeping or living unit.	Although the Human Rights (2013-DDA-H&W-POL007) and Most Integrated Community Based Settings (2015-DDA-POL01) policy both speak to the right to privacy, this is not specifically detailed.  Please see <a href="http://dds.dc.gov/book/iii-health-and-wellness/human-rights-policy">http://dds.dc.gov/book/iii-health-and-wellness/human-rights-policy</a> and <a href="http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy">http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy</a> .	All	DDS will update it's the Human Rights (2013-DDA-H&W-POL007) and Most Integrated Community Based Settings policies (2015-DDA-POL01). http://dds.dc.gov/book/iii-health-and-wellness/human-rights-policy and http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy.  DDS will update its Provider HRC procedure to align. This might also require an update to DDS's Behavior Supports policy and procedures.  DDS will update its Provider HRC procedure to align. http://dds.dc.gov/publication/provider-hrc-procedures.  This might also require an update to DDS's Behavior Supports policy (2013-DDA-POL008) and procedures. http://dds.dc.gov/book/behavior-support-policy-and-procedures/behavioral-support-policy-and-procedures/behavioral-support-plan-policy.	DDS	Most Integrated Community Based Setting policy: 9/30/2018  Human Rights Policy: 9/30/2018  Update September 2018 – HCBS Final regulations published 3/2/2018  Updated ISP Policy and Procedure and format completed October 1, 2017.	Service coordination monitoring, and provider certification review.

		DDS and DHCF are updating the waiv regulations Home and Community Bas Settings Requirements to require that a permissible deviation from HCBS Sett requirements must be supported by a specific assessed need, justified and documented in the person's person-cer Individualized Support Plan, as well as reviewed and approved as a restriction the Provider's Human Rights Committ (HRC).  Update September 2018:  DDS & DHCF added this requirement our governing regulations at 29 DCMF §1938.2(b)(1), available at https://www.dcregs.dc.gov/Common/ER/SectionList.aspx?SectionNumber=291938.  Please see DDS' updated ISP documer Person Centered Planning Process and Individual Support Plans Policy, 2017-DDA-POL001, available at https://dds.dc.gov/sites/default/files/dc/dds/publication/attachments/2017-DD POL001%20Person% 20Centered% 20I ing% 20Process% 20and% 20Individual Support Plan Procedure, 20 DDA-PRO5, available at https://dds.dc.gov/sites/default/files/dc/dds/publication/attachments/2017-DD PRO5% 20Initial% 20Individual% 20Sug% 20Plan% 20Procedure 1.pdf; and An Individual Support Plan Procedure, 20 DDA-PRO6, available at https://dds.dc.gov/sites/default/files/dc/dds/publication/attachments/2017-DD PRO6% 20Initial% 20Individual% 20Sug% 20Plan% 20Procedure 1.pdf; and An Individual Support Plan Procedure, 20 DDA-PRO6, available at https://dds.dc.gov/sites/default/files/dc/dds/publication/attachments/2017-DD PRO6% 20 Annual% 20 Individual% 20 Sugwa 20 Plan% 20 Procedure 1.pdf; and An Individual Support Plan Procedure, 20 DDA-PRO6, available at https://dds.dc.gov/sites/default/files/dc/dds/publication/attachments/2017-DD PRO6% 20 Annual% 20 Individual% 20 Sugwa 20 Plan% 20 Procedure 1.pdf.  DDS developed a required template for Provider HRC meeting minutes, availa on-line at:	Provider HRC Meeting Minutes form completed, June 22, 2018.  NEW Person- Centered Modifications Procedure complete by September 30, 2018; posting by October 15, 2018.  COMPLETE.  tts:  Sites A- dann 620 d1 7- sites A- pport mual 77- sites A- pport mual 78- sites A- pport mual 79- sites A- pport mual 71- sites A- pport mual Minutes form completed, Moifications Procedure completed Modifications Procedure completed Modification
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				https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/Final%20Provider%20HRC%20Minutes%20Template%206.22.18.pdf.  DDS developed a new Person-Centered Modifications Procedure which will be completed by September 30, 2018 and posted to the DDA Policies and Procedures page by October 15, 2018, available https://dds.dc.gov/page/policies-and-procedures-dda, to ensure clarity in exactly which requirements in its HCBS Settings Requirements Rule regulations permit PCMs and who is to carry out which piece of the work.			
entrance door	e setting s with lockable s, with aff having keys	is currently.	All	DDS will update it's the Human Rights (2013-DDA-H&W-POL007) and Most Integrated Community Based Settings policies (2015-DDA-POL01). http://dds.dc.gov/book/iii health and-wellness/human-rights-policy and http://dds.dc.gov/book/ii-service-planning/most-integrated-community-setting-policy.  DDS and DHCF are updating the waiver regulations Home and Community Based Settings Requirements to require that any permissible deviation from HCBS Settings requirements must be supported by a specific assessed need, justified and documented in the person's person-centered Individualized Support Plan, as well as reviewed and approved as a restriction by the Provider's Human Rights Committee (HRC).  DDS will update its Provider HRC procedure to align. This might also require an update to DDS's Behavior Supports policy and procedures. DDS developed a required template for meeting minutes, available on-line at:	DDS	Most Integrated Community Based Setting policy: 9/30/2018  Update September 2018 – HCBS Final regulations published 3/2/2018.  NEW Lockable Space Policy complete by September 30, 2018; posting by October 15, 2018.  Updated Provider HRC Meeting Minutes form	Service coordination monitoring, and provider certification review.

http://dds.dc.gov/publication/hrc-meeting-	completed,
template.	June 22, 2018.
DDS will update its Provider HRC	NEW Person-
procedure to align.  http://dds.dc.gov/publication/provider_hrc-	Centered Modifications
procedures.	Procedure
procedures.	complete by
This might also require an update to DDS's	September 30,
Behavior Supports policy (2013-DDA-	2018; posting
POL008) and procedures.	by October 15,
http://dds.dc.gov/book/behavior-support-	2018.
policy-and-procedures/behavioral-support-	
<del>plan-policy.</del>	
<b>Update September 2018:</b>	COMPLETE
Please see this provision in DDS' governing	
regulations at 29 DCMR §1938.1(a)(2),	
available at	
https://www.dcregs.dc.gov/Common/DCM	
R/SectionList.aspx?SectionNumber=29-1938.	
<u>1736</u> .	
In addition, DDS developed a new	
Lockable Space Policy, which will be	
completed by September 30, 2018, and be	
posted October 15, 2018, on the DDA	
Policies and Procedures webpage, available at <a href="https://dds.dc.gov/page/policies-and-">https://dds.dc.gov/page/policies-and-</a>	
procedures-dda.	
procedures dua.	
Please see DDS' updated ISP documents:	
Person Centered Planning Process and	
Individual Support Plans Policy, 2017-	
DDA-POL001, available at	
https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-	
POL001%20Person%20Centered%20Plann	
ing%20Process%20and%20Individual%20	
Support%20Plans%20Policy.pdf; Initial	
Individual Support Plan Procedure, 2017-	
DDA-PR05, available at	
https://dds.dc.gov/sites/default/files/dc/sites	
/dds/publication/attachments/2017-DDA-	
PR05%20Initial%20Individual%20Support	
%20Plan%20Procedure_1.pdf; and Annual	

					Individual Support Plan Procedure, 2017-DDA-PR06, available at https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-PR06%20Annual%20Individual%20Support%20Plan%20Procedure 1.pdf.  DDS developed a required template for			
					Provider HRC meeting minutes, available on-line at:  https://dds.dc.gov/sites/default/files/dc/sites /dds/publication/attachments/Final%20Prov ider%20HRC%20Minutes%20Template%2 06.22.18.pdf.  DDS developed a new Person-Centered Modifications Procedure which will be completed by September 30, 2018 and posted to the DDA Policies and Procedures page by October 15, 2018, available https://dds.dc.gov/page/policies-and- procedures-dda, to ensure clarity in exactly which requirements in its HCBS Settings			
					Requirements Rule regulations permit PCMs and who is to carry out which piece of the work.			
All Residential	o. If provider-owned or controlled, the setting provides individuals who are sharing units a choice of roommates.	No policies speak to this currently.	Silent	All	DDS will issue a policy on Contribution to Cost of Supports that will address choice of units and ability to have a private unit, if the person is able to contribute.  Update September 2018: Please see this provision in DDS' governing regulations at 29 DCMR §1938.1(a)(2), available at <a href="https://www.dcregs.dc.gov/Common/DCM">https://www.dcregs.dc.gov/Common/DCM</a> R/SectionList.aspx?SectionNumber=29-1938. With these new regulations, no additional policy change is required.	DDS	Contrib. to cost of supports: 9/30/2018  Update September 2018 – HCBS Final regulations published 3/2/2018.  COMPLETE.	Service coordination monitoring, and provider certification review.
All Residential	p. If provider-owned or controlled, the setting provides individuals with the	No policies speak to this currently.		All	DDS will update its Most Integrated Community Based Setting policy (2015- DDA-POL01) to specifically address this.	DDS	Most Integrated Community	Service coordination monitoring, and

freedom to furnish and	http://dds.dc.gov/book/ii-service	Based Setting	provider
decorate their sleeping or	planning/most-integrated-community-	policy:	certification
living units within the lease or	setting-policy.	9/30/2018	review.
other agreement.	Update September 2018:	Update	
	Please see this provision in DDS' governing	September	
	regulations at 29 DCMR §1938.2(b)(2).	2018 –	
	With these new regulations, no additional	HCBS Final	
	policy change is required.	regulations	
		published	
	Please see DDS' updated ISP documents:	3/2/2018.	
	Person Centered Planning Process and		
	Individual Support Plans Policy, 2017-	Updated ISP	
	DDA-POL001, available at	Policy and	
	https://dds.dc.gov/sites/default/files/dc/sites	Procedure and	
	/dds/publication/attachments/2017-DDA-	format	
	POL001%20Person%20Centered%20Plann	completed	
	ing%20Process%20and%20Individual%20	October 1,	
	Support% 20Plans% 20Policy.pdf; Initial	2017.	
	Individual Support Plan Procedure, 2017-DDA-PR05, available at	Updated	
	https://dds.dc.gov/sites/default/files/dc/sites	Provider HRC	
	/dds/publication/attachments/2017-DDA-	Meeting	
	PR05%20Initial%20Individual%20Support	Minutes form	
	%20Plan%20Procedure_1.pdf; and Annual	completed,	
	Individual Support Plan Procedure, 2017-	June 22, 2018.	
	DDA-PR06, available at	, , , , , , , , , , , , , , , , , , , ,	
	https://dds.dc.gov/sites/default/files/dc/sites		
	/dds/publication/attachments/2017-DDA-	NEW Person-	
	PR06%20Annual%20Individual%20Suppor	Centered	
	t%20Plan%20Procedure_1.pdf.	Modifications	
		Procedure	
	DDS developed a required template for	complete by	
	Provider HRC meeting minutes, available	September 30,	
	on-line at:	2018; posting	
	https://dds.dc.gov/sites/default/files/dc/sites	by October 15,	
	/dds/publication/attachments/Final%20Prov	2018.	
	ider%20HRC%20Minutes%20Template%2	COMPLETE	
	<u>06.22.18.pdf</u> .	COMPLETE.	
	DDS developed a new Person-Centered		
	Modifications Procedure which will be		
	completed by September 30, 2018 and		
	posted to the DDA Policies and Procedures		
	page by October 15, 2018, available		
	https://dds.dc.gov/page/policies-and-		

				procedures-dda, to ensure clarity in exactly which requirements in its HCBS Settings Requirements Rule regulations permit PCMs and who is to carry out which piece of the work.			
All	q. (i) If provider-owned or controlled, the setting provides individuals with the freedom and support to control their schedules and activities	No policies speak to this specifically	All	DDS issued guidance on the requirement for Individualized Schedules. DDS also updated the General Provisions to require that "All Supported Living, Supported Living with Transportation, Host Home, Residential Habilitation, Day Habilitation and Employment Readiness settings must create individualized daily schedules for each person receiving supports that includes activities that align with the person's goals, interests and preferences, as reflected in his or her ISP." General Provisions, http://www.dcregs.dc.gov/Gateway/RuleHome.aspx?RuleNumber=29-1900, Home and Community Based Settings Requirements.  DDS will issue a policy, based upon the guidance already issued, requiring this.  Update September 2018: Please see this provision in DDS' governing regulations at 29 DCMR \$1938.1(g & i), available at https://www.dcregs.dc.gov/Common/DCM R/SectionList.aspx?SectionNumber=29-1938.  In addition, DDS developed a new Individualized Daily Schedule Policy and Procedure which will be completed by September 30, 2018, and be posted October 15, 2018, on the DDA Policies and Procedures webpage, available at https://dds.dc.gov/page/policies-and-procedures-dda, which substantively and in form fulfill the remediation requirements of the STP.	DDS	Individual Schedules: 9/30/2018  Update September 2018 – HCBS Final regulations published 3/2/2018.  NEW Individualized Daily Schedule Policy and Procedure complete by September 30, 2018; posting by October 15, 2018.  COMPLETE.	Service coordination monitoring, and provider certification review.

All	(ii) If provider-owned or	No policies speak to this currently.	Silent	All	DDS will update its Human Rights Policy	DDS I	Human Rights	Service
7 111	controlled, the setting	110 poneres speak to this currently.	Silcin	7 311	(2013-DDA-H&W-POL007) to specifically		Policy:	coordination
	provides individuals with the				include this. http://dds.dc.gov/book/iii-		9/30/2018	monitoring, and
	freedom and support to				health and wellness/human rights policy		7/30/2010	provider
	access to food at any time				neutri una weimess/numan rights poney	1	Update	certification
	decess to rood at any time				DDS and DHCF are updating the waiver		September	review.
					regulations Home and Community Based		2018 –	Teview.
					Settings Requirements to require that any		HCBS Final	
					permissible deviation from HCBS Settings		regulations	
					requirements must be supported by a		published	
					specific assessed need, justified and		3/2/2018.	
					documented in the person's person-centered		<i>5, <b>2</b>, 20</i> 10.	
					Individualized Support Plan, as well as		Updated ISP	
					reviewed and approved as a restriction by		Policy and	
					the Provider's Human Rights Committee		Procedure and	
					(HRC).		format	
					(III.e).		completed	
					DDS will update its Provider HRC		October 1,	
					procedure to align. This might also require		2017.	
					an update to DDS's Behavior Supports			
					policy and procedures	1	Updated	
					1 , 1		Provider HRC	
							Meeting	
							Minutes form	
				4/	DDS will update its Provider HRC		completed,	
					<del>procedure to align.</del>	J	June 22, 2018.	
					http://dds.dc.gov/publication/provider hrc-			
					<del>procedures</del> .			
							NEW Person-	
					This might also require an update to DDS's		Centered	
					Behavior Supports policy (2013-DDA-	1	Modifications	
					POL008) and procedures.		Procedure	
					http://dds.dc.gov/book/behavior-support-		complete by	
					policy-and-procedures/behavioral-support-		September 30,	
					<del>plan policy.</del>		2018; posting	
							by October 15,	
					Update September 2018:		2018.	
					Please see this provision in DDS' governing			
					regulations at <u>29 DCMR §1938.2(b)(2)</u> ,		~~· ~~-	
					available at		COMPLETE.	
					https://www.dcregs.dc.gov/Common/DCM			
					R/SectionList.aspx?SectionNumber=29-			
					<u>1938</u> .			
					Diagram DDC2 1-4-1 ICD 1			
					Please see DDS' updated ISP documents:			
					Person Centered Planning Process and			1

					Individual Support Plans Policy, 2017-DDA-POL001, available at https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-POL001%20Person%20Centered%20Plann ing%20Process%20and%20Individual%20 Support%20Plans%20Policy.pdf; Initial Individual Support Plan Procedure, 2017-DDA-PR05, available at https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-PR05%20Initial%20Individual%20Support%20Plan%20Procedure 1.pdf; and Annual Individual Support Plan Procedure, 2017-DDA-PR06, available at https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-PR06%20Annual%20Individual%20Support%20Plan%20Procedure 1.pdf.  DDS developed a required template for Provider HRC meeting minutes, available on-line at: https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/Final%20Provider%20HRC%20Minutes%20Template%206.22.18.pdf.  DDS developed a new Person-Centered Modifications Procedure which will be completed by September 30, 2018 and posted to the DDA Policies and Procedures page by October 15, 2018, available https://dds.dc.gov/page/policies-and-procedures-dda, to ensure clarity in exactly which requirements in its HCBS Settings Requirements Rule regulations permit PCMs and who is to carry out which piece of the work.			
All	r. If provider-owned or controlled, the setting allows individuals to have visitors at any time.	This is already required by the DDS  Human Rights Policy (2013-DDA-  H&W-POL007) and procedures. Please see: http://dds.dc.gov/book/iii-health- and-wellness/human-rights-policy.	Positive	All	DDS and DHCF are updating the waiver regulations Home and Community Based Settings Requirements to require that any permissible deviation from HCBS Settings requirements must be supported by a	DDS	Provider HRC procedure: 9/30/2018	Service coordination monitoring, and provider

	specific assessed need, justified and		certification
	documented in the person's person-centered	Behavior	review.
	Individualized Support Plan, as well as	Supports	10,10,11
	reviewed and approved as a restriction by	policy:	
		9/30/2018	
	the Provider's Human Rights Committee (HRC).	<del>7/30/2010</del>	
		Update	
	DDS will update its Provider HRC	September	
	procedure to align. This might also require	2018 –	
	an update to DDS's Behavior Supports	HCBS Final	
	policy and procedures	regulations	
	poney and procedures	published	
	DDS will update its Provider HRC	3/2/2018.	
		3/2/2016.	
	procedure to align.	II., 1-4-1 ICD	
	http://dds.dc.gov/publication/provider-hrc	Updated ISP	
	<del>procedures.</del>	Policy and	
		Procedure and	
	This might also require an update to DDS's	format	
	Behavior Supports policy (2013-DDA-	completed	
	POL008) and procedures.	October 1,	
	http://dds.dc.gov/book/behavior_support_	2017.	
	policy-and-procedures/behavioral-support-		
	<del>plan-policy</del>	Updated	
		Provider HRC	
	<u>Update September 2018:</u>	Meeting	
	Please see this provision in DDS' governing	Minutes form	
	regulations at 29 DCMR §1938.1(m),	completed,	
	available at	June 22, 2018.	
	https://www.dcregs.dc.gov/Common/DCM	June 22, 2016.	
	R/SectionList.aspx?SectionNumber=29-		
		NEW Dames	
	1938. With these new regulations, no policy	NEW Person-	
	change was required in the Provider HRC	Centered	
	Procedure or DDS Behavior Supports	Modifications	
	Policy is necessary.	Procedure	
		complete by	
	Please see DDS' updated ISP documents:	September 30,	
	Person Centered Planning Process and	2018; posting	
	Individual Support Plans Policy, 2017-	by October 15,	
	DDA-POL001, available at	2018.	
	https://dds.dc.gov/sites/default/files/dc/sites		
	/dds/publication/attachments/2017-DDA-		
	POL001%20Person%20Centered%20Plann		
	ing%20Process%20and%20Individual%20	COMPLETE.	
	Support%20Plans%20Policy.pdf; Initial	COMILETE.	
	Individual Support Plan Procedure, 2017-		
	DDA-PR05, available at		

					https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-PR05%20Initial%20Individual%20Support%20Plan%20Procedure_1.pdf; and Annual Individual Support Plan Procedure, 2017-DDA-PR06, available at https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2017-DDA-PR06%20Annual%20Individual%20Support%20Plan%20Procedure_1.pdf.			
					DDS developed a required template for Provider HRC meeting minutes, available on-line at:  https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/Final%20Provider%20HRC%20Minutes%20Template%206.22.18.pdf.			
					DDS developed a new Person-Centered Modifications Procedure which will be completed by September 30, 2018 and posted to the DDA Policies and Procedures page by October 15, 2018, available <a href="https://dds.dc.gov/page/policies-and-procedures-dda">https://dds.dc.gov/page/policies-and-procedures-dda</a> , to ensure clarity in exactly which requirements in its HCBS Settings Requirements Rule regulations permit PCMs and who is to carry out which piece of the work.			
All	s. If provider-owned or controlled, the setting is physically accessible to the individual.	No policies speak to this currently.	Silent	All	DDS will add this to its Most Integrated Community Based Settings policy (2015- DDA-POL01). https://dds.dc.gov/publication/most- integrated community based setting policy Note that this is also governed by the General Provision regulations. General Provisions, http://www.dcregs.dc.gov/Gateway/RuleHo me.aspx?RuleNumber=29-1900, Home and Community Based Settings Requirements.  Update September 2018:	DDS	Most Integrated Community Based Setting policy: 9/30/2018  Update September 2018 – HCBS Final regulations published 3/2/2018.	Service coordination monitoring, and provider certification review.

					Please see this provision in DDS' governing regulations at 29 DCMR §1938.1(c), available at <a href="https://www.dcregs.dc.gov/Common/DCM">https://www.dcregs.dc.gov/Common/DCM</a> R/SectionList.aspx?SectionNumber=29-1938. With these new regulations, no additional policy change is required.	(This was done in lieu of the Most Integrated Community Based Setting policy.)  COMPLETE.	
OAII	General compliance	No policies speak to this currently	Silent	All	DDS issued a HCBS Settings Rule Compliance policy, 2015-DDS-POL23, available at http://dds.dc.gov/publication/hcbs-settings-rule-compliance-policy, that requires "All active HCBS residential, day and vocational services providers shall conduct a critical and honest self-assessment in accordance with the process and timelines set out by DDS; cooperate fully with the assessment and transition process; and demonstrate ongoing efforts, cooperation and progress towards compliance with the HCBS Settings Rule."  The policy also authorizes the use of sanctions for non-compliance, in accordance with the DDS Imposition of Sanctions Policy, 2012-DDS-QMD-POL002 and Imposition of Sanctions Procedure, 2014-DDS-PR001, available at http://dds.dc.gov/publication/imposition-sanctions-policy.  Update September 2018: In order to determine whether, when and how to submit a facility-based HCBS Settings Day Setting for people with ID to the Centers for Medicare and Medicaid Services (CMS) for Heightened Scrutiny review, DDS also released a new Home and Community Based Services for People with Intellectual Disabilities Day Setting Heightened Scrutiny Review Procedure, 2018-DDS-PR-02 (May 25, 2018), available at	Update September 2018 – HCBS Day Setting Heightened Scrutiny Review Procedure released with Transmittal 5/25/2018.  COMPLETE.	Update September 2018: Service coordination monitoring and provider certification review. For Heightened Scrutiny review, also DDS' Quality Assurance and Performance Management Administration review.

		https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/2018-DDS-PR02%20HCBS%20Heightened%20Scrutiny%20Procedure.pdf.		
		DDS released this with DDS <u>Transmittal</u> #18-2, "All Home and Community Based Services for People with Intellectual Disabilities Waiver Providers (May 25, 2018), available at: <a href="https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/DDS%20Tran">https://dds.dc.gov/sites/default/files/dc/sites/dds/publication/attachments/DDS%20Tran</a>		
		smittal% 20% 2318- 2 % 20Heightened% 20Scrutiny% 20Proced ure% 205-25-2018.pdf.		